Serial No.: orm PTO-1449 (Modified) Atty. Docket No.: Page 1 of 1 09/769,577 101.003 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Applicant: Johnston, et al. Filing Date: January 25, 2001 Group: 1764 U.S. PATENT DOCUMENTS REFERENCE DESIGNATION Filing Date If Subclass Class Date Name Document Number Examiner Appropriate Initial AA AB AC ADRECEIVED

SEP 2 1 2001

TC. 1700 AEAF AG ΑH ΑJ AK ALAMFOREIGN PATENT DOCUMENTS Translation Subclass Country Class Document Number Date Yes No U X 7/21/1999 Great Britain GB 2 333 351 AN **PCT** X 6/12/1997 WO 97 21064 AO X 11/3/1994 Germany DE 43 13 723 AP X 5/7/1952 Great Britain AQ GB 671 573 OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) D. Reay, "Learning from Experiences with Compact Heat Exchangers", Doc. No. XP-002167972, CADDETT AR Analyses Series No. 25, pgs. 32-42, 85-86, 98, 141-142, and XI-XII (6/1999) DATE CONSIDERED **EXAMINER** EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if

not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Modified) Page 1 of 1 NTS AND PUBLICATIONS FOR APPLICANT'S RMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

Atty. Docket No.: Serial No.: 101.003 09/769,577

Applicant: Johnston et al.

Filing Date: January 25, 2001

			Filing Date: January 25, 2001		Group: 1764		
E DESIG	GNATION	U.S. PATENT	DOCUMENTS				
	Document Number	Date	Name	Class	Subclass	Filing Appro	Date I opriate
AA	4,075,092	2/21/1978	White et al.				
AB	4,101,281	7/18/1978	Pagani		·		
AC	4,153,855	5/8/1979	Feingold				
AD	4,190,079	2/26/1980	White et al.				
AE	4,231,979	11/4/1980	White et al.		¥		
AF	4,714,592	12/22/1987	Zanma et al.				
AG	5,180,480	1/19/1993	Manz				
AH	5,494,641	2/27/1996	Krstanovic				
AJ	5,540,899	7/30/1996	Koves				
AK	5,585,074	12/17/1996	Zardi et al.				
AL	5,727,618	3/17/1998	Mundinger et al.				
AM	5,744,100	4/28/1998	Krstanovic	-			
AN	5,804,701	9/8/1998	Berger				
	FC	REIGN PATEN	F DOCUMENTS				
	Document Number	Date	Country	Class	Subclass	Translation	
			<u> </u>			Yes	No
AO	0 386 692	6/3/1990	Europe			X	
AP	0 386 693	3/6/1990	Europe			X	
AQ	0 539 834	10/19/1992	Europe			Х	
AR	WO 95/01834	1/19/1995	PCT			Х	
AS	WO 96/12316 ·	4/25/1996	PCT	*		х	
AT	WO 96/39260 ·	12/12/1996	PCT		3	Х	
AU	WO 96/42004	12/27/1996	PCT			X	
AV	WO 98.32535	7/30/1998	PCT			X	
	OTHER ART (Inc	cluding Author, T	itle, Date, Pertinent Pa	ges, Etc.)			
AW					0		
X	In		DATE CONSIDERE		104		
	AA AB AC AD AE AF AG AH AJ AK AL AM AN AO AP AQ AR AS AT AU AW	AA 4,075,092 AB 4,101,281 AC 4,153,855 AD 4,190,079 AE 4,231,979 AF 4,714,592 AG 5,180,480 AH 5,494,641 AJ 5,540,899 AK 5,585,074 AL 5,727,618 AM 5,744,100 AN 5,804,701 FO Document Number AO 0 386 692 AP 0 386 693 AQ 0 539 834 AR WO 95/01834 AS WO 96/12316 AT WO 96/39260 AU WO 96/42004 AV WO 98.32535 OTHER ART (Inc.	AA 4,075,092 2/21/1978 AB 4,101,281 7/18/1978 AC 4,153,855 5/8/1979 AD 4,190,079 2/26/1980 AE 4,231,979 11/4/1980 AF 4,714,592 12/22/1987 AG 5,180,480 1/19/1993 AH 5,494,641 2/27/1996 AJ 5,540,899 7/30/1996 AK 5,585,074 12/17/1996 AL 5,727,618 3/17/1998 AM 5,744,100 4/28/1998 AN 5,804,701 9/8/1998 FOREIGN PATENT Document Number Date AO 0 386 692 6/3/1990 AP 0 386 693 3/6/1990 AQ 0 539 834 10/19/1992 AR WO 95/01834 1/19/1995 AS WO 96/12316 4/25/1996 AT WO 96/39260 12/12/1996 AV WO 98.32535 7/30/1998 OTHER ART (Including Author, T	Document Number Date Name	Designation	Designation	Document Number Date Name Class Subclass Filing Appro

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